



<b>Information Disclosure Statement By Applicant Pursuant to 37 CFR § 1.97(b)(3)</b>  <b>Dated: October 12, 2005</b> Sheet 1 of 1	Application No.: 10/808,771	
	Filing Date: 25 March 2004	
	First Named Inventor: CIOFFI	
	Applicant: Adaptive Spectrum and Signal Alignment, Inc.	
	Art Unit: 2661	Examiner Name: UNKNOWN
Attorney Docket No. 0101-p05		

#### U.S. Patent Documents

Examiner Initial	No.	Document No.	Publication Date	Patentee	Class	Sub-class	Filing Date

#### Foreign Patent or Published Foreign Patent Application

Examiner Initial	No.	Document No.	Publication Date	Country or Patent Office	Patentee	Translation	
						Yes	No
/A.R./	B1	WO 03/105339 A1	12/18/2003	WIPO	Tokyo Electron	✓	
/A.R./	B2	EP 1 009 154 A2	06/14/2000	EPO	Lucent Techn.	✓	

#### Other Documents

Examiner Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication
/A.R./	C1	International Search Report, International Application No. PCT/IB2005/000714 (3 pgs).
/A.R./	C2	Written Opinion of the International Searching Authority, International Application No. PCT/ IB2005/000714 (5 pgs).

**Examiner:** Please initial citation considered; draw line through citation if not in conformance and not considered; and include copy of this form with next communication to applicant.

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<b>Information Disclosure Statement By Applicant Pursuant to 37 CFR § 1.97(b)(3)</b>  <b>Dated: February 6, 2007</b> Sheet 1 of 1	Application No.: 10/808,771	
	Filing Date: 25 March 2004	
	First Named Inventor: CIOFFI	
	Applicant: Adaptive Spectrum and Signal Alignment, Inc.	
	Art Unit: 2616	Examiner Name: Chin, W.
Attorney Docket No. 0101-p05		

## U.S. Patent Documents

Examiner Initial	No.	Document No.	Publication Date	Patentee	Class	Sub-class	Filing Date
/A.R./	A1	6,507,608 B1	01/14/2003	Norrell			
/A.R./	A2	2006/0109779 A1	05/25/2006	Shah et al.			
/A.R./	A3	2005/0053229 A1	03/10/2005	Tsatsanis et al.			
/A.R./	A4	5,901,205 (B1?)	05/04/1999	Smith et al.			
*** /A.R./	A5	6,147,963 (B1?)	11/14/2000	Walker et al.			

## Foreign Patent or Published Foreign Patent Application

Examiner Initial	No.	Document No.	Publication Date	Country or Patent Office	Patentee	Translation	
						Yes	No
/A.R./	B3	WO 94/18781 A1	08/18/1994	WIPO	Bell Comm. Res.	✓	
/A.R./	B4	WO 95/31867 A1	11/23/1995	WIPO	Bell Comm. Res.	✓	

## Other Documents

Examiner Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication

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\*\*\* Document A5 was also published on January 28, 1998, in the United Kingdom, as Publication No. GB 2 315 392.

Abdulla Ryger

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